

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Soto, et al.

Appl. No.: 10/612,715

Filed: 7/1/2003

For: Biometric Private Key Infrastructure

Atty. Dkt.: 010942/0304513

Group Art Unit: 2131

Examiner: not yet assigned

Date: April 2, 2004

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith information of which applicants are aware, which applicants believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application transmittal submitted herewith. 37 CFR § 1.97(a).
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the Information Disclosure Statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that

- (f) ☒ Each item of information contained in the Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

(g) ☐ No item of information contained in this Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

A concise explanation of relevance of the above described and attached information is:

- (k) ☐ not given
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☒ is in the form of an English language copy of a Search Report [copy attached] from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

While the information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that the information referred to is "prior art" for this invention unless specifically designated as such.

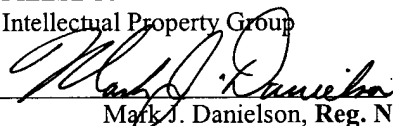
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and consideration of the foregoing and enclosures, plus the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited, along with an early action on the merits.

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing or insufficient fees required relative to this petition, and to credit any overpayment, to our Deposit Account 502213 Order No. 010942/0304513, for which purpose a duplicate copy of this sheet is enclosed.

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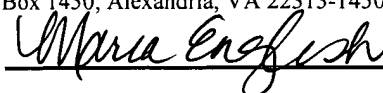
Respectfully submitted,  
PILLSBURY WINTHROP LLP  
Intellectual Property Group

By Atty:



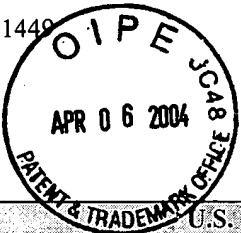
Mark J. Danielson, Reg. No. 40,580  
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I certify that this paper is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 2, 2004.



Maria English

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|--|--|--|--|-----------------------------|--|------------------------|--|
| <b>INFORMATION DISCLOSURE CITATION</b><br><br>PTO-1449<br><br>Date Mailed: April 2, 2004 |  |  |  | Atty Docket : 10942/0304513 |  | Serial No.: 10/612,715 |  |
|  |  |  |  | Applicant : Soto, et al.    |  |                        |  |
|  |  |  |  | Filing Date: 7/1/03         |  | Group Art Unit: 2131   |  |



| U.S. PATENT DOCUMENTS |            |      |      |       |          |             |
|-----------------------|------------|------|------|-------|----------|-------------|
| EXAMINER'S INITIALS   | PATENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|                       |            |      |      |       |          |             |
|                       |            |      |      |       |          |             |
|                       |            |      |      |       |          |             |
|                       |            |      |      |       |          |             |
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|                       |            |      |      |       |          |             |
|                       |            |      |      |       |          |             |
|                       |            |      |      |       |          |             |

| FOREIGN PATENT DOCUMENTS |                |            |         |       |          |             |    |
|--------------------------|----------------|------------|---------|-------|----------|-------------|----|
| EXAMINER'S INITIALS      | PATENT NO.     | DATE       | COUNTRY | CLASS | SUBCLASS | Translation |    |
|                          |                |            |         |       |          | Yes         | No |
|                          | WO 99 33219 A  | 7/1/1999   | PCT     | H04L  | 9/30     |             |    |
|                          | WO 01 27716 A  | 4/19/2001  | PCT     | G06F  |          |             |    |
|                          | WO 02 32308 A  | 4/25/2002  | PCT     | A61B  | 5/117    |             |    |
|                          | WO 02 103496 A | 12/27/2002 | PCT     | G06F  | 1/100    |             |    |
|                          | WO 98 50875 A  | 11/12/1998 | PCT     | G06K  |          |             |    |
|                          |                |            |         |       |          |             |    |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |
|--|--|
|  | PCT Notification of Transmittal of the International Search Report dated 3/4/2004, citing above references |
|  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.